

PATENT Attorney Docket No.: SONY-17900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2623

162 N. Wolfe Road Sunnyvale, CA 94086

Customer No.: 28960

(408) 530-9700

Examiner: Lonsberry, Hunter B.

TRANSMITTAL LETTER

In re Application of:

Robert A. Unger

Serial No.: 09/835,991

Filed: April 16, 2001

For:

LOW-POWER BROADCAST

RECEIVER

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

> Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: November 29, 2006

Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR§ 1.8(a)) I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA

HAVERSTOCK & OWENS L



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2623
Robert A. Unger	Examiner: Lonsberry, Hunter B.
Serial No.: 09/835,991) CERTIFICATION FOR
Filed: April 16, 2001) SUPPLEMENTAL INFORMATION) DISCLOSURE STATEMENT UNDER 37
For: LOW-POWER BROADCAST RECEIVER) CFR § 1.97(e)) 162 N. Wolfe Road) Sunnyvale, CA 94086) (408) 530-9700
)) Customer No. 28960

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached nine (9) references contained in this supplemental information disclosure statement were cited within an International Search Report (ISR) mailed on October 17, 2006. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

PATENT Attorney Docket No.: SONY-17900

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 24, 2006

Jonathan O. Ower

Reg. No.: 37,902

Attorneys for Applicant

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HAVERSTOCK & OWENS LLD

- 2 -

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For: LOW-POWER BROADCAST RECEIVER) Sunnyvale, CA 94086) (408) 530-9700
) Customer No : 28060

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- Japanese Patent Application No. 11-196342;
- Japanese Abstract No. 09-275530;
- Japanese Patent Application No. 9-275530;
- Japanese Abstract No. 10-285482;
- Japanese Patent Application No. 10-285482;
- Japanese Abstract No. 05-344022;
- Japanese Patent Application No. 5-344022;
- Japanese Abstract No. 10-228338; and
- Japanese Patent Application No. 10-228338.

PATENT

Attorney Docket No.: SONY-17900

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 24, 2006

Ionathan C

Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))
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- 2 -

Sheet 1 of 1 FORM PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office Attorney Docket No.: SONY-17900 Serial No.: 09/835,991 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant: Robert A. Unger Filing Date: April 16, 2001 Group Art Unit: 2623 (37 CFR § 1.98(b)) U.S. PATENT DOCUMENTS Serial / Patent Number Examiner Issue Date Applicant / Patentee Class Subclass Filing Date Initials AA ΑB AC AD ΑE AF AG ΑH ΑI ΑJ FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Document Number Country / Patent Office Class Subclass **Publication Date** Yes No H04N 5/44 X ΑK 11-196342 7/11/99 JΡ 5/44 9-275530 10/21/97 JΡ H04N Х AL 10/21/97 H04N 5/44 Х AM 9-275530 JP AN 10-285482 10/23/98 JP H04N 5/44 X AO 10-285482 10/23/98 JP H04N 5/44 Х ΑP 05-344022 12/24/93 JP H04B 1/38 Х AQ 5-344022 12/24/93 JP H04B 1/38 Х JP AR 10-228338 8/25/98 G06F 1/32 X AS 10-228338 8/25/98 JP G06F 1/32 Х ΑT OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) ΑU ΑV AW AX AY ΑZ BABBBC

Examiner: EXAMINER:

BD BE

Date Considered:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.